

GP 2643

PATENT  
Attorney Docket No. HELLO-08601

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peter O. Schmidt et al.

Serial No.: 09/801,442

Filed: March 7, 2001

For: **ELECTRONIC DEVICE  
UTILIZING A CLIP AND  
METHOD FOR PROVIDING  
THE SAME**

Group Art Unit: 2643

Examiner: Tran, S.

**TRANSMITTAL LETTER**

260 Sheridan Avenue, Suite 420  
Palo Alto, California 94306  
(650) 833-0160

**RECEIVED**

**FEB 05 2002**

**Technology Center 2600**

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Sir:

Enclosed please find a Petition Under 37C.F.R. § 1.48(a) for Correction of Inventorship (1 page); a Statement of Facts Under 37C.F.R. § 1.48(a) (4 pages); Combined Declaration for Patent Application and Power of Attorney (3 pages); an Assignment (3 pages) (copy); Form PTO-1595 (1 page) (copy); and a Written Consent of Assignee to Change in Inventorship Under 37 C.F.R. § 1.48(a)(4) With Accompanying Statement Establishing Assignee Ownership Under Under 37 C.F.R. § 1.48(b) (1 page) for filing with the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK, & OWENS LLP

Dated: 11-21-01

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No. 32,571

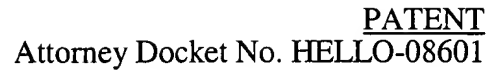
CERTIFICATE OF MAILING (37 CFR § 1.8(a))

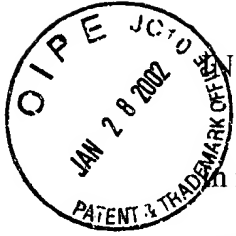
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP

Date: 11/21/01 By: N. V. V. V.

Attorneys for Applicant(s)





PATENT

Attorney Docket No. HELLO-08601

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peter O. Schmidt, *et al.*

Serial No.: 09/801,442

Filed: March 7, 2001

For: **ELECTRONIC DEVICE  
UTILIZING AN EARPLUG  
SUPPORT ELEMENT AND  
METHOD FOR PROVIDING  
THE SAME**

Group Art Unit: 2643

Examiner: Tran, S.

**STATEMENT OF FACTS  
UNDER 37 C.F.R. § 1.48 (a)**

260 Sheridan Avenue, Suite 420  
Palo Alto, California 94306  
(650) 833-0160

**RECEIVED**

**FEB 05 2002**

**Technology Center 2900**

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Sir:

1. On March 7, 2001 the above-captioned patent application was filed with the U.S. Patent and Trademark Office including the following two inventors: Peter O. Schmidt and Jeffrey S. Jones. It was believed at that time that these were the only inventors of this invention.
2. After the patent application was filed, a thorough review of the application and the claims was conducted.
3. During this review process it was determined that a third inventor, John Magnasco, should be added to the list of inventors for his contribution to the present invention as defined by the claims.
4. During this review process it was determined that a fourth inventor, Ron Van Thiel, should be added to the list of inventors for his contribution to the present invention as defined by the claims.
5. John Magnasco was not included as an originally named inventor because it was not realized at the time of filing that his contribution to the present invention was included in the claims. There was no intent to deceive when Mr. John Magnasco's name was not included as an inventor. As soon as it was discovered that Mr. John Magnasco had contributed to the invention as defined by the claims, the appropriate steps were taken to add his name as an inventor.

6. Ron Van Thiel was not included as an originally named inventor because it was not realized at the time of filing that his contribution to the present invention was included in the claims. There was no intent to deceive when Mr. Ron Van Thiel's name was not included as an inventor. As soon as it was discovered that Mr. Ron Van Thiel had contributed to the invention as defined by the claims, the appropriate steps were taken to add his name as an inventor.

7. I hereby declare that all statement made herein of my own knowledge are true and that all statements made on information and belief are believed to be true. Further, these statements were made with the knowledge that willful false statements and the like are punishable by fine and imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

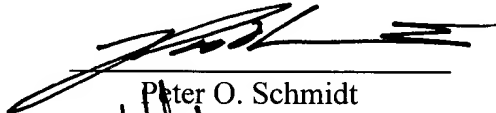
Respectfully submitted,

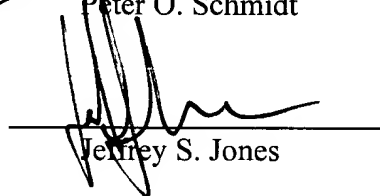
SEPT. 20. 2001  
Date

9.20.01  
Date

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

  
Peter O. Schmidt

  
Jeffrey S. Jones

\_\_\_\_\_  
John Magnasco

\_\_\_\_\_  
Ron Van Thiel

6. Ron Van Thiel was not included as an originally named inventor because it was not realized at the time of filing that his contribution to the present invention was included in the claims. There was no intent to deceive when Mr. Ron Van Thiel's name was not included as an inventor. As soon as it was discovered that Mr. Ron Van Thiel had contributed to the invention as defined by the claims, the appropriate steps were taken to add his name as an inventor.

7. I hereby declare that all statement made herein of my own knowledge are true and that all statements made on information and belief are believed to be true. Further, these statements were made with the knowledge that willful false statements and the like are punishable by fine and imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Respectfully submitted,

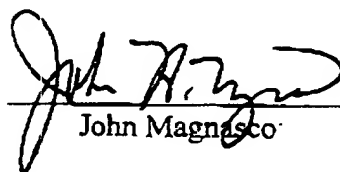
\_\_\_\_\_  
Date

\_\_\_\_\_  
Peter O. Schmidt

\_\_\_\_\_  
Date

\_\_\_\_\_  
Jeffrey S. Jones

14-NOV-2001  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
John Magnasco

\_\_\_\_\_  
Date

\_\_\_\_\_  
Ron Van Thiel

6. Ron Van Thiel was not included as an originally named inventor because it was not realized at the time of filing that his contribution to the present invention was included in the claims. There was no intent to deceive when Mr. Ron Van Thiel's name was not included as an inventor. As soon as it was discovered that Mr. Ron Van Thiel had contributed to the invention as defined by the claims, the appropriate steps were taken to add his name as an inventor.

7. I hereby declare that all statement made herein of my own knowledge are true and that all statements made on information and belief are believed to be true. Further, these statements were made with the knowledge that willful false statements and the like are punishable by fine and imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Respectfully submitted,

\_\_\_\_\_  
Date

\_\_\_\_\_  
Peter O. Schmidt


\_\_\_\_\_  
Date

\_\_\_\_\_  
Jeffrey S. Jones

\_\_\_\_\_  
Date

\_\_\_\_\_  
John Magnasco

9 / 20 / 01  
Date

  
Ron Van Thiel

(Rev. 06/93)

Patent and Trademark Office

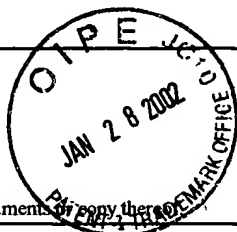
OMB No. 0651-0011 (Exp. 04/94)

Attorney Docket No.: HELLO-08601

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please record the attached original documents or copy them.



1. Name of conveying party(ies):

Peter O. Schmidt  
Jeffrey S. Jones  
John Magnasco  
Ron Van Thiel

Additional name(s) of conveying party(ies) attached.

2. Name and address of receiving party(ies):

Hello Direct, Inc.  
5893 Rue Ferrari  
San Jose, CA 95138

Additional name(s) and address(es) attached.

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_Execution Date: November 14, 2001FEB 05 2002  
Technology Center 2600

RECEIVED

FEB 05 2002

4. Application number(s) or patent number(s):

☐ This document is being filed together with a new application, the execution date of the application is: **Technology Center 2600**  
☒ Patent Application Serial No.: 09/801,442; filed on March 7, 2001.  
☐ Patent No.(s):

Additional numbers attached.

5. Name and address of party to whom correspondence concerning document should be mailed:

Thomas B. Haverstock  
HAVERSTOCK & OWENS LLP  
260 Sheridan Avenue, Suite 420  
Palo Alto, California 943066. Total number of applications and patents involved: 1.

7. Total fee(s) [37 CFR §§ 1.21(h) and 3.41]:

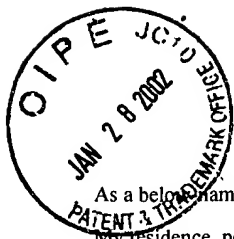
☒ Check(s) in the amount of \$40.00 enclosed.

8. Authorization to Charge Additional Fees:

☒ The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication and which may be required under 37 CFR §§ 1.21(h) and 3.41 to Deposit Account No. 08-1275.

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dated: November 21, 2001Signature: Thomas B. Haverstock  
Name: Thomas B. Haverstock  
Reg. No.: 32,571Total number of pages including cover sheet, attachments, and document: 4



COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name. I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **AN ELECTRONIC DEVICE UTILIZING AN EARPLUG SUPPORT ELEMENT AND METHOD FOR PROVIDING THE SAME**, the specification of which was filed on **March 7, 2001** and received serial no. **09/801,442**. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claim

Yes No

--	--

Number	Country	Day/Month/Year Filed
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I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status: Patented, Pending, Abandoned
------------------------	-------------	--------------------------------------

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date
------------------------	-------------

I hereby appoint the following as my attorneys with full power of substitution to prosecute this application and transact all business in the Patent and Trademark Office in connection therewith:

Thomas B. Haverstock	32,571	Jonathan O. Owens	37,902
----------------------	--------	-------------------	--------

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FEB 05 2002

Please direct all correspondence regarding this application to the following:

Thomas B. Haverstock  
HAVERSTOCK & OWENS LLP  
260 Sheridan Avenue, Suite 420  
Palo Alto, California 94306

Technology Center 2600

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First Joint Inventor: Peter O. Schmidt

Inventor's Signature: [Signature]

Sept 20, 2001

Date

Residence: 913 C Apricot Avenue, Campbell, CA 95008

Citizenship: United States

Post Office Address: 913 C Apricot Avenue, Campbell, CA 95008

Full Name of Second Joint Inventor: Jeffrey S. Jones

Inventor's Signature: [Signature]

9.20.01

Date

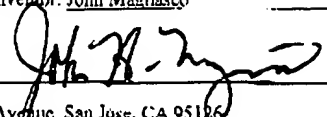
Residence: 482 1/2 Border Hill Drive, Los Altos, CA 94024

Citizenship: United States

Post Office Address: 482 1/2 Border Hill Drive, Los Altos, CA 94024



Full Name of Third Joint Inventor: John Maenasco

Inventor's Signature: 

14-NOV-2001

Date

Residence: 389 Mayellen Avenue, San Jose, CA 95126

Citizenship: United States

Post Office Address: 389 Mayellen Avenue, San Jose, CA 95126

Full Name of Fourth Joint Inventor: Ron Van Thiel

Inventor's Signature: \_\_\_\_\_

Date

Residence: 835 15<sup>th</sup> Avenue, Menlo Park, CA 94025

Citizenship: United States

Post Office Address: 835 15<sup>th</sup> Avenue, Menlo Park, CA 94025

Full Name of Third Joint Inventor: John Magnasco

Inventor's Signature: \_\_\_\_\_ Date \_\_\_\_\_

Residence: 1851 McBain Avenue, San Jose, CA 95125

Citizenship: United States

Post Office Address: 1851 McBain Avenue, San Jose, CA 95125

Full Name of Fourth Joint Inventor: Ron Van Thiel

Inventor's Signature:  9/20/01  
Date

Residence: 835 15<sup>th</sup> Avenue, Menlo Park, CA 94025

Citizenship: United States

Post Office Address: 835 15<sup>th</sup> Avenue, Menlo Park, CA 94025

**ASSIGNMENT**

WHEREAS, WE, Peter O. Schmidt, Jeffrey S. Jones, John Magnasco and Ron Van Thiel, hereinafter referred to as "ASSIGNORS", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **AN ELECTRONIC DEVICE UTILIZING AN EARPLUG SUPPORT ELEMENT AND METHOD FOR PROVIDING THE SAME**


Date of execution of application: March 1, 2001 Filing Date: March 7, 2001 Serial No.: 09/801,442

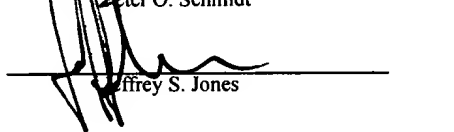
WHEREAS, Hello Direct, Inc., a corporation of the State of Delaware, 5893 Rue Ferrari, San Jose, CA 95138, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee, and Assignee's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this assignment and transfer not been made, to the full end and term of any Letters Patent.

Assignors further agree that they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have hereunto signed their names to this assignment on the dates indicated below.

  
\_\_\_\_\_  
Peter O. Schmidt

  
\_\_\_\_\_  
Jeffrey S. Jones

SEPT. 20. 2001  
\_\_\_\_\_  
Date

9.20.01  
\_\_\_\_\_  
Date

\_\_\_\_\_  
John Magnasco

\_\_\_\_\_  
Date

\_\_\_\_\_  
Ron Van Thiel

\_\_\_\_\_  
Date

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

On \_\_\_\_\_ before me, \_\_\_\_\_,  
personally appeared Jeffrey S. Jones

☐ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose names are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

SEAL

\_\_\_\_\_  
Signature of Notary Public

## ASSIGNMENT

WHEREAS, WE, Peter O. Schmidt, Jeffrey S. Jones, John Macnaguso and Ron Van Thiel, hereinafter referred to as "ASSIGNORS", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: AN ELECTRONIC DEVICE UTILIZING AN EARPLUG SUPPORT ELEMENT AND METHOD FOR PROVIDING THE SAME

Date of execution of application: March 1, 2001 Filing Date: March 7, 2001 Serial No.: 09/801,442

WTFREAS, Hello Direct, Inc., a corporation of the State of Delaware, 5893 Rue Ferrari, San Jose, CA, 95138, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same:

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN. Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee, and Assignee's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this assignment and transfer not been made, to the full end and term of any Letters Patent.

Assignors further agree that they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have hereunto signed their names to this assignment on the dates indicated below

Peter O. Schmidt

Date \_\_\_\_\_

**Jerry S. Jones**

Date \_\_\_\_\_

**John Magnasco**

Date \_\_\_\_\_

Ron Van Thiel

Latc

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

On 10/10/2018 before me, JOHN MAGUIRE  
 personally appeared Don Van Hise  
☐ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose names are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

SEAL

**Signature of Notary Public**

ASSIGNMENT

WHEREAS, WE, Peter O. Schmidt, Jeffrey S. Jones, John Magnasco and Ron Van Thiel, hereinafter referred to as "ASSIGNORS", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: AN ELECTRONIC DEVICE UTILIZING AN EARPLUG SUPPORT ELEMENT AND METHOD FOR PROVIDING THE SAME

Date of execution of application: March 1, 2001 Filing Date: March 7, 2001 Serial No.: 09/801,442

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NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee, and Assignee's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this assignment and transfer not been made, to the full end and term of any Letters Patent.

Assignors further agree that they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have hereunto signed their names to this assignment on the dates indicated below.

\_\_\_\_\_  
Peter O. Schmidt

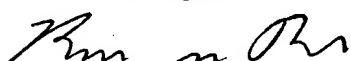
\_\_\_\_\_  
Date

\_\_\_\_\_  
Jeffrey S. Jones

\_\_\_\_\_  
Date

\_\_\_\_\_  
John Magnasco

\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Ron Van Thiel

9/20/01  
\_\_\_\_\_  
Date

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

On \_\_\_\_\_ before me, \_\_\_\_\_,  
personally appeared Ron Van Thiel

☐ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose names are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

SEAL

\_\_\_\_\_  
Signature of Notary Public

**PATENT**

**Attorney Docket No.: HELLO-08601**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)	Group Art Unit: 2643
Peter O. Schmidt et al.	)	Examiner: Tran, S.
Serial No.: 09/801,442	)	
Filed: March 7, 2001	)	<b>WRITTEN CONSENT OF ASSIGNEE TO</b>
	)	<b>CHANGE IN INVENTORSHIP UNDER</b>
	)	<b>37 C.F.R. 1.48(a)(4) WITH</b>
	)	<b>ACCOMPANYING STATEMENT</b>
For: <b>ELECTRONIC DEVICE</b>	)	<b>ESTABLISHING ASSIGNEE</b>
<b>UTILIZING A CLIP AND</b>	)	<b>OWNERSHIP UNDER 37 C.F.R. 3.73(b)</b>
<b>METHOD FOR PROVIDING THE</b>	)	
<b>SAME</b>	)	260 Sheridan Avenue, Suite 420
	)	Palo Alto, California 94306
	)	(650) 833-0160

Assistant Commissioner of Patents  
Washington, D.C. 20231

**Sir:**

As assignee of the above-captioned patent application, HELLO DIRECT, INC. hereby consents and agrees to the filing of the attached petition to correct inventorship. Specifically, Assignee, HELLO DIRECT, INC., consents to the addition of the following inventors in the above captioned application: Ron Van Thiel, a United States Citizen at 835 15<sup>th</sup> Avenue, Menlo Park, California 94025 and John Magnasco, a United States Citizen at 1851 McBain Avenue, San Jose, California 95125.

Assignee, HELLO DIRECT, INC., states that it is the assignee of the entire right, title and interest in the above captioned application by virtue of assignment from the inventors of the above captioned application. The assignment was recorded in the Patent and Trademark Office on March 7, 2001 on Reel 011600, Frame 0247, for which a copy is attached. The undersigned states that he or she is empowered to sign this statement on behalf of the assignee.

Dated: 11/20/01

By: [Signature]  
Signature  
RONALD J. BECHT JR.  
Name  
SENIOR VICE PRESIDENT  
Title

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

- 1 -

**HAVERSTOCK & OWENS LLP.**

Date: 11/21/01 By: Nervander